

Appendix 2

Environmental and Social Screening Checklist

This form is completed separately for each Task/sub-project. Certain sections may not be applicable depending on the type of component (dry polder, wet reservoir, NBS, or non-structural component); non-applicable sections shall be marked "N/A" with a brief justification.

Table: Instrument Selection Matrix

Instrument	High	Substantial	Moderate	Condition
ESMP	●	●	●	—
C-ESMP	●	●	●	All construction contracts (*)
ESIA	●	●	○	Required where national legislation and/or ESS1 require a detailed ESIA due to the significance of the potential environmental and social risks and impacts
Environmental and Social Audit of Existing facilities	●	●	●	For all existing facilities
LARAP	●	●	—	Required where the preliminary screening identifies involuntary land acquisition
Resettlement Due Diligence	●	●	—	Required where the preliminary screening identifies involuntary land acquisition in anticipation of the Project
SEP	●	●	●	—
LMP	●	●	○	—
Biodiversity Management Plan	●	○	—	Required where screening or assessment identifies substantial risks to biodiversity, critical habitats, protected areas, ecological corridors, or ecosystem services
Chance Finds Procedure	●	●	●	Required for all activities involving excavation, earthworks, or other ground-disturbing activities where there is potential for chance finds (*)
International Dam Safety Panel of Experts (IDSPOE) confirmation of dam design adequacy	●	○	—	New or large dams (per World Bank large-dam thresholds), or existing dams/DUC exhibiting substantial or high risk due to downstream impact potential or technical complexity; required at Appraisal stage
Emergency Preparedness Plan (EPP)	●	○	—	New or large dams (per World Bank large-dam thresholds), or existing dams/DUC exhibiting substantial or high risk due to downstream impact potential or technical complexity; required at Appraisal stage

Instrument	High	Substantial	Moderate	Condition
Dam Safety Status / Assessment Report	●	●	—	Required where the Task relies on an existing dam or a dam under construction (e.g. Sulejów modernization)
First Reservoir Filling / Impoundment Plan	●	—	—	New dams creating a permanent reservoir

● required ○ required if YES is selected for the corresponding question on the form

PART A — Information on the Project

Field	To be filled out
Form No.	
Task Name	
Type of Activity	☐ Polder ☐ Dry reservoir ☐ Wet reservoir ☐ Channel work ☐ Levee ☐ Other: ____
Project Area	☐ Kłodzko Basin (Biała Łądecka / Kamieniec Żąbkowicki) ☐ Vistula Valley (Koszyce-Szczurowa and Przykop polders) ☐ NBS
PIU Unit	
Location (municipality / province)	
Estimated cost of the project	Indicative estimate based on best available information at the screening stage; to be refined as the Task is further defined
Planned completion date	From: _____ To: _____
Description of the planned activity/ existing facility under operation	
Date completed	

PART B — Review of the list of excluded activities

Question	YES	NO
Is the planned activity listed in the catalog of activities excluded from funding? (see Chapter X.X)	☐	☐

⚠ If YES → the process is suspended. The activity is not eligible for funding. If NO → proceed to Part C.

PART C — Identification of E&S Risks

A “YES” answer indicates an identified risk. “UNKNOWN” identifies an information gap. The subproject shall not be finally classified, approved, or tendered until the information needed to determine the nature and significance of the relevant risk has been obtained. Where uncertainty concerns potentially significant or irreversible impacts, a precautionary classification shall be applied pending further assessment.

No.	Question	YES	NO	UNKNOWN	Steps if YES
C1	Land Acquisition, Restrictions on Land Use and Involuntary Resettlement (ESS5)				
C1.1	Does the activity require any permanent or temporary land acquisition, physical	☐	☐	☐	Apply the LARF; prepare the LARAP

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No.	Question	YES	NO	UNKNOWN	Steps if YES
	occupation of land, creation of easements or servitudes, or any permanent, temporary, seasonal or recurring restrictions on land use, access or resource use, including restrictions arising from construction, operation, maintenance, safety zones, controlled inundation, flood-storage functions, access roads, utility corridors or associated facilities?				
C1.2	Could the activity result in the physical displacement of people or households?	☐	☐	☐	Apply the LARF; prepare the LARAP
C1.3	Could the activity result in economic displacement (loss of livelihoods)?	☐	☐	☐	Apply the LARF; prepare the LARAP
C1.4	Are there any tenants, employees, agricultural workers, contractors, suppliers, customers, informal users, sharecroppers, service providers or other third parties whose income or livelihoods depend wholly or partly on the affected land, assets, businesses or resources				
C1.5	Are there people on the site who do not have a formal legal title to the land?	☐	☐	☐	Apply the LARF; prepare the LARAP
	Are there seasonal users of land and assets?				
	Could the activity result in temporary or permanent restrictions on access to natural resources or ecosystem services, including grazing land, pasture, watering points, livestock routes, fishing areas, forests, fuelwood, non-timber forest products, irrigation water, surface water, groundwater, hunting areas, or other natural resources relied upon for livelihoods, subsistence or customary use?				
	Could the activity result in actual resettlement?	☐	☐	☐	Apply the LARF; prepare the LARAP
C1.6	Does the activity involve land within a spa protection zone (A, B, or C)?	☐	☐	☐	Include in the LARAP; consult with the health resort authority
	Was any land acquired, cleared or restricted in anticipation of the project, irrespective of when it was acquired?				Apply the LARF prepare and Resettlement Due diligence
	Have any people, structures, crops or businesses been removed before completion of the screening?				
	Are there ongoing court proceedings or unresolved claims associated with earlier land acquisition or removal activities?				
C1.7	Was any land relevant to this Task acquired, cleared, or restricted in anticipation of or in preparation for the Project, regardless of when this occurred, and are there any unresolved impacts (outstanding claims, ongoing proceedings, or unaddressed physical or economic displacement) arising from that acquisition?	☐	☐	☐	Apply the LARF; prepare the LARAP; Prepare the Resettlement DD
	Are there any outstanding claims ?				

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No.	Question	YES	NO	UNKNOWN	Steps if YES
C1.8	Does the implementation of the Task require ancillary facilities (access roads, power lines) that necessitate land acquisition?	☐	☐	☐	Apply the LARF; prepare the LARAP
C2	Impact on vulnerable groups (ESS1, ESS4)				
C2.1	Could the activity have a negative impact on vulnerable groups (including but not limited to: the elderly; people with disabilities; the poor; single mothers; households dependent on agriculture; tenants and informal users; landless agricultural workers; households without vehicles; people living alone; people requiring medical support; residents of isolated areas; people with limited digital access; people dependent on ecosystem services; people in areas with limited emergency access?)?	☐	☐	☐	Apply measures from the SEFP and LARF
C3	Community Health and Safety (ESS4)				
C3.1	Could the activity affect the health or safety of local communities?	☐	☐	☐	Include in the ESMP
C3.2	Could the activity disrupt access to public infrastructure or services?	☐	☐	☐	Include in the ESMP and LARAP
C3.3	Could the project cause disturbances to residents during the construction phase (noise, dust, vibrations, vehicle traffic)?	☐	☐	☐	Include in the ESMP and C-ESMP
C3.4	Is there a risk of an increase in the incidence of communicable disease or other public health impacts?	☐	☐	☐	Implement the LMP, ESMP and measures from the SEP
C3.5	Does the activity pose a construction traffic or road-safety risk?	☐	☐	☐	Include in the ESMP and C-ESMP consider a Traffic Management Plan as part of the C-ESMP
C3.6	Will heavy construction traffic pass through settlements, school zones, or tourist areas?	☐	☐	☐	Include in the ESMP and C-ESMP; consider a Traffic Management Plan; apply relevant measures from the SEP for affected communities
C3.7	Are sites for material sourcing known?	☐	☐	☐	Include in the ESMP and C-ESMP, including the assessment of associated borrow pits, quarries, or supply sites; if not yet known, treat as an information gap under Part C's "UNKNOWN" provision
C3.8	Are children, elderly persons, or persons with disabilities exposed to increased road-safety risks?	☐	☐	☐	Include in the ESMP and C-ESMP; apply vulnerable-group measures from the SEF and LARF
C3.9	Will temporary bridges, diversions, or closures affect safe pedestrian and vehicle access?	☐	☐	☐	Include in the ESMP and C-ESMP; consult on alternative access arrangements through the SEP
C3.10	Could emergency services be delayed?	☐	☐	☐	Include in the ESMP and C-ESMP; coordinate access arrangements with local emergency services
C3.11	Could blasting, piling, or vibration damage nearby buildings, wells, utilities, or cultural property? Is a pre-construction condition survey required?	☐	☐	☐	Include in the ESMP and C-ESMP; conduct a pre-construction condition survey of potentially affected structures and assets

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No.	Question	YES	NO	UNKNOWN	Steps if YES
C3.12	Could open excavations, deep water, steep slopes, or construction machinery be accessible to the public? Are fencing, lighting, signage, safe crossings, and traffic marshals required?	☐	☐	☐	Include in the C-ESMP as site security and public safety measures
C3.13	Could construction affect drinking-water sources, wells, or sanitation systems? Could temporary loss of electricity, water, heating, telecommunications, or sewage services occur?	☐	☐	☐	Include in the ESMP and C-ESMP; coordinate with utility providers and communicate service disruptions through the SEP
C3.14	Will the new dam create a permanent water reservoir?	☐	☐	☐	Prepare First Reservoir Filling / Impoundment Plan
C3.15	Is the new dam classified as a LARGE DAM? <i>(Height ≥15 meters OR height between 5 and 15 meters with a reservoir capacity > 3 million m3)</i>	☐	☐	☐	Required IDSPOE Confirmation of Dam Design Adequacy Prepare Emergency Preparedness Plan (EPP)
C3.16	If the new dam is SMALL (does not meet Large Dam thresholds), does it present high/substantial safety risks? <i>(e.g., permanent population downstream (PAR), complex/difficult foundations, high seismicity, unusually large flood-handling, or stores toxic/industrial waste)</i>	☐	☐	☐	Required IDSPOE Confirmation of Dam Design Adequacy Prepare Emergency Preparedness Plan (EPP)
C3.17	Does the project lack recent, satisfactory, full-level safety inspection reports and assessments for this existing dam?	☐	☐	☐	Required Dam Safety Status Report
C3.18	Does the existing dam exhibit high/substantial safety risk to downstream communities/facilities, OR does the project involve highly complex, major structural remedial works on it?	☐	☐	☐	Required IDSPOE Confirmation of Dam Works Design Adequacy Prepare Emergency Preparedness Plan (EPP)
C4	Natural environment and cultural heritage (ESS6, ESS8)				
C4.1	Is the project area located within or in the immediate vicinity of a Natura 2000 site? Could the project have cumulative impacts on the Natura 2000 network within the watershed?	☐	☐	☐	Prepare an ESMP; consider a Biodiversity Management Plan
C4.2	Could the activity affect natural habitats or protected species?	☐	☐	☐	Prepare a site-specific ESMP
C4.3	Could the activity affect critical habitats as defined by ESS6?	☐	☐	☐	The action is ineligible for funding
C4.4	Does the activity require the felling of trees or the removal of inland vegetation?	☐	☐	☐	Prepare an ESMP; include in the C-ESMP
C4.5	Are there any cemeteries, shrines, or other places of worship known to be located the operational area?	☐	☐	☐	Known sites require prior assessment, consultation, and avoidance/management measures; the Chance Finds Procedure applies only to previously unidentified sites discovered during works.
C4.6	Could there be cultural heritage sites (historic monuments, archaeological sites) within the project area?	☐	☐	☐	Apply the procedure for chance discoveries; include in the ESMP
C4.7	Could earthworks encounter unknown archaeological or cultural objects?	☐	☐	☐	Follow the procedure for chance discoveries
C4.8	Could the activity affect intangible cultural heritage?	☐	☐	☐	Include in the ESMP

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No.	Question	YES	NO	UNKNOWN	Steps if YES
C4.9	Have any invasive species been observed, or is there a risk of them spreading from neighboring areas?	☐	☐	☐	Apply IASMP
C4.10	Is there a risk of species/habitats that could qualify as Critical habitat under ESS6?	☐	☐	☐	Prepare a CHA to clarify the presence of Critical Habitats within the activity area
C4.11	Could the activity significantly convert or degrade natural habitats/ is located within critical habitats area/site of presence?	☐	☐	☐	Apply BMP
C4.12	Have the risks resulting from the formation and impoundment of the wet flood retention reservoir been assessed including permanent or periodic inundation of land, changes in water conditions, and impacts on land, habitats, land users and existing infrastructure.	☐	☐	☐	Include in ESIA
C4.13	Have the risks related to the long-term operation of the reservoir been assessed, including changes in water levels, flow regime, sedimentation, water quality, eutrophication, aquatic vegetation, odours?	☐	☐	☐	Include in ESIA
C4.14	Have risks related to reservoir safety during the operational phase been assessed, including equipment failure, overtopping, damage to the dam or embankments, erosion, blockage of outlet structures and the functioning of emergency procedures?	☐	☐	☐	Include in ESIA
C5	Labor and Working conditions (ESS2)				
C5.1	Could the implementation of the Task involve forced labor or child labor?	☐	☐	☐	The action is ineligible for funding
C5.2	Does the activity involve the employment of migrant workers?	☐	☐	☐	Apply LMP
C5.3	Does the activity pose an increased risk of workplace accidents or hazards to Occupational Health and Safety?	☐	☐	☐	Implement the LMP; include in the C-ESMP
C5.4	Could the activity pose an SEA/SH risk to employees or the community?	☐	☐	☐	Apply the LMP; include the SEA/SH pathway in the GRM
C5.5	Is there a risk of unequal pay between women and men during the execution of the works?	☐	☐	☐	Use LMP
C5.6	Does the activity involve the construction or reconstruction of a water-retaining hydrotechnical structure (dam, reservoir)?	☐	☐	☐	Apply dam safety requirements; include in the ESMP and EPP
C6	Resources, Efficiency, and Pollution Prevention (ESS3)				
C6.1	Could the activity generate solid or liquid waste that could negatively impact soil, water, or neighboring communities?	☐	☐	☐	Prepare a site-specific ESMP; include it in the C-ESMP
C6.2	Do the works involve the removal of asbestos or other hazardous materials?	☐	☐	☐	Follow asbestos handling procedures; prepare an ESMP
C6.3	Could the work cause significant negative impacts on air or water quality (turbidity, sediment, dust emissions)?	☐	☐	☐	Prepare the ESMP; include it in the C-ESMP
C6.4	Does the implementation of the Task rely on existing infrastructure that is insufficient to prevent environmental impacts?	☐	☐	☐	Prepare a site-specific ESMP
C6.5	Does the activity require significant volumes of raw materials or generate	☐	☐	☐	Include in the ESMP

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No.	Question	YES	NO	UNKNOWN	Steps if YES
	substantial quantities of excavated material?				
C6.6.	Does the project take into account current and projected climate risks that may affect the safety, durability and operation of the object?	☐	☐	☐	Include in the ESMP
C6.7	Could the project transfer, increase or create new climate-related or flood risks in other areas, for example upstream or downstream of the reservoir?	☐	☐	☐	Include in the ESMP
C7	Stakeholder Engagement (ESS10)				
C7.1	Could the activity cause controversy or opposition from local communities?	☐	☐	☐	Apply the SEFP; prepare the SEP
C7.2	Does the activity involve areas or groups requiring a special communication approach?	☐	☐	☐	Apply the SEFP; prepare the SEP
C7.3	Does the activity concern an area with historically documented public opposition or high social polarization?	☐	☐	☐	Apply the SEFP; prepare the SEP; consider mediation
C8	Associated Facilities				
C8.1	Does the Task require facilities not funded as a part of the project and meeting all (a) – (c) criteria: <i>(a) facilities are directly and significantly related to the Project;</i> <i>(b) facilities are carried out, or planned to be carried out, contemporaneously with the Project; and</i> <i>(c) facilities are necessary for the Project to be viable and would not have been constructed, expanded, or conducted if the Project did not exist.</i>	☐	☐	☐	Identify the associated facilities and assess their environmental and social risks and impacts using the same principles applied to the Task itself; include the results in the site-specific ESMP
C8.2	Have these associated facilities been identified?	☐	☐	☐	Include the identified facilities and their assessed E&S risks and impacts in the site-specific ESMP.

PART D — Risk Classification

All flood control structures are classified as projects that can always have a significant impact on the environment. The minimum environmental risk classification for such activities is minimum Substantial.

Risk Level	Criteria	Check
High	At least one “YES” in C1 or C2 with a significant scale; or the project requires an ESIA; or “YES” in C4.6 with a large reservoir capacity.	☐
Substantial	YES in C1, C2, C3, or C5 with a limited scale; or at least three YESes across different categories; or YES in C4.6. Minimum classification for flood control structures.	☐
Moderate	YES only in C4 (excluding C4.6) or C6; or UNKNOWN in C1–C3 in the absence of a YES.	☐
Low	All NO in C1–C5; no or minimal E&S impacts. Does not apply to flood control structures.	☐

Justification for classification: _____

PART E — Selection of E&S Instruments

Instrument	Required	Comments / Reference
Environmental and Social Management Plan (ESMP)	☐ YES ☐ NO	
Contractor’s Environmental and Social Management Plan (C-ESMP)	☐ YES ☐ NO	Required for all construction contracts
Environmental and Social Impact Assessment (ESIA)/ Cumulative Impact Assessment	☐ YES ☐ NO	
Land Acquisition and Resettlement Plan (LARAP / ESS5)/ Resettlement Due Diligence	☐ YES ☐ NO	If C1.1–C1.5 = YES Resettlement Due Diligence required where C1.7 identifies prior project-related acquisition
Stakeholder Engagement Plan (SEP / ESS10) including Grievance Redress Mechanism	☐ YES ☐ NO	
Labor Management Procedures (LMP / ESS2)	☐ YES ☐ NO	
Biodiversity Management Plan (ESS6)	☐ YES ☐ NO	If C3.1 or C3.2 = YES and substantial impact
Environmental and Social Audit of Existing facilities	☐ YES ☐ NO	Required for all existing facilities
Chance Find Procedure (ESS8)	☐ YES ☐ NO	Required for all earthworks
International Dam Safety Panel of Experts (IDSPOE) confirmation of dam design adequacy	☐ YES ☐ NO	Required for Large dams and dams presenting high/substantial safety risks
Emergency Preparedness Plan (EPP)	☐ YES ☐ NO	If C3.15, C3.16, C3.18 = YES and high/substantial risk
Dam Safety Status / Assessment Report	☐ YES ☐ NO	If C3.17 = YES
First Reservoir Filling / Impoundment Plan	☐ YES ☐ NO	If C3.14 = YES

PART F — Required National Permits and Approvals

Permit / Approval	Required	Obtained	N/A	Planned date / notes
Environmental Impact Assessment (EIA) Decision — Regional Directorate for Environmental Protection (RDOŚ)	☐	☐	☐	
Natura 2000 Impact Assessment	☐	☐	☐	Required if C4.1 = YES
Water Law Report	☐	☐	☐	Required prior to obtaining a water rights permit
Water permit — Minister of Water Management	☐	☐	☐	Required prior to the IPIP
Permit for the Implementation of a Flood Protection Project (IPIP) — Provincial Governor	☐	☐	☐	
Other (specify): _____	☐	☐	☐	

PART G — Approval

Role	First and Last Name	Date	Signature
Consultant (to be completed by)			
PIU (approves)			

The original form is retained by PIU. A copy is submitted to PCU along with the Task documentation. The first several completed screening forms under the Project shall be submitted to the World Bank for prior review.