

Appendix 1

Chance Finds Procedures

to the Environmental and Social Management Framework (ESMF) – Climate Resilience in Water Resources Management Project

This procedure applies to the discovery, during construction or earthwork activities, of a site, resource, or object that is suspected of being a historic monument (including an archaeological site), in accordance with the Act of July 23, 2003, on the Protection and Care of Historic Monuments and the World Bank's Environmental and Social Standard 8 (ESS8). Finds not previously entered in the register or inventory of historical monuments are also subject to protection. The procedure also covers elements of intangible cultural heritage significant to the local community, if they are identified during the work - in such cases, the Contractor and PIU shall act in accordance with the principles of the Stakeholder Engagement Plan (SEP).

This procedure applies to all earthworks under each Task, regardless of risk category, as a mandatory component of the C-ESMP. It does not replace pre-investment cultural heritage surveys and assessments (ESIA/Project Information Sheet - PIS). It serves as a safeguard in the event of chance finds.

1. Procedural Steps

Step	Action and Legal Basis	Responsibility	Deadline
1	Immediate suspension of work that could damage or destroy the discovered object; securing the object and the site of discovery using available measures, without moving or cleaning the site (Article 32(1) of the Cultural Heritage Protection Act).	Contractor	Immediately
2	Notify the site manager → Contract Engineer / Engineer of the Contract → PIU. Simultaneously, notify the competent Provincial Conservator of Monuments (WKZ) , and if this is not possible, the commune head/mayor/city president (Article 32(1)(3) of the UOZ).	Contractor / Contract Engineer / PIU	Immediately
3	If reported to the commune head, forward the notification to the WKZ.	Commune Head / Mayor/City President	No later than 3 days (Article 32(2) of the UOZ)
4	Inspection of the site by the WKZ and decision: (a) continuation of work—if it is not a historic monument; (b) continuation of work - if it is a historic monument, but the work will not damage it; (c) further suspension and archaeological investigations at the expense of the entity financing the work.	WKZ	Inspection within 5 days (Article 32, paragraphs 3–5 of the UOZ)
5	If archaeological investigations have been ordered – they shall be conducted and funded (Article 32(5)(3) of the UOZ). Upon completion of the investigations, the WKZ issues a decision permitting the resumption of work - work may begin only when the decision has been issued.	Contractor (funding) / licensed archaeologist / WKZ	Suspension ≤ 1 month; for a historic monument of exceptional value, ≤ 6 months (Article 32, paragraphs 6–8 of the UOZ)
6	Photographic documentation, GPS location, and description of the find prior to handover. Archaeological monuments are the property of the State Treasury (Article 35 of the UOZ); for other objects – as stated in Article 189 of the Civil Code (Article 32(9) of the UOZ).	Contractor / PIU	Prior to handover to the WKZ
7	Record in the Monthly E&S Report. Regardless of the “serious incidents” defined in the ESMF (which does not explicitly cover cultural heritage finds), the PIU shall report to the World Bank via the PCU any of the following event: suspension of works for archaeological investigations, discovery of a find of exceptional value, or discovery of human remains.	PIU / PCU	48 hours from the time the information is received

2. Additional Provisions

- The Contractor shall train all personnel involved in earthworks (including subcontractors) in the identification of chance finds and in this procedure, prior to mobilization and at least annually thereafter. Training attendance shall be documented in the OHS/E&S records and reported in the Monthly E&S Report.
- For Projects implemented pursuant to an IPIP decision (Act of July 8, 2010), the opinion of the WKZ attached to the IPIP application shall also be taken into account.
- Discovery of human remains: work shall remain suspended until the Police/Prosecutor's Office have completed their activities and the WKZ has issued a permission to resumption of works. The PIU and Contractor shall handle the situation with dignity, taking into account cultural and religious sensitivities and, where necessary, consulting with the local community through the Project's SEP and GRM.
- Until the find has been secured by the competent authorities, the PIU and the Contractor shall restrict public disclosure of its exact location and identifying details to prevent looting; general, non-sensitive information (e.g., that a find has occurred and that works are temporarily suspended) may be shared with the local community in line with the SEP and GRM. A find is considered to be of exceptional significance if any of the following applies: (i) the competent heritage conservation authority formally classifies it as being of outstanding historic, artistic, or scientific value; (ii) it consists of human remains; or (iii) the ordered archaeological investigations are expected to last longer than one month. In any of these cases, the PIU shall notify the World Bank within 48 hours of becoming aware of the find, and shall assess, in consultation with the World Bank, whether the CHMP needs to be prepared, updated, or supplemented.