

INFORMATION

about processing of personal data within the framework of the

**ERA-NET for research and innovation on materials and battery technologies, supporting the European Green Deal (M-ERA.NET 3)**

Pursuant to Article 13 (and/or) 14 of Regulation (EU) 2016/679 of the European Parliament and of the Council of 27 April 2016 on the protection of natural persons with regard to the processing of personal data and on the free movement of such data, and repealing Directive 95/46/EC (General Data Protection Regulation) (OJ. L 2016, No. 119, p. 1), we would like to inform you about the principles of processing of the personal data provided by you:

- 1) There is a joint responsibility for data processings within the M-ERA.NET pursuant to Article 26 of the GDPR. The Joint Controllers are as follows:

XXX

A list of the current consortium members is available at <https://m-era.net/about/m-consortium>.

- 2) Data subjects may contact the Joint Controllers and their designated Data Protection Officers and/or contact points in the following matters: personal data processing, exercise of rights related to personal data processing, by sending e-mail messages to the e-mail addresses or addresses of the Joint Data Controllers specified in section 1.
- 3) The main subject matter of these data processings is the implementation of the transnational call “ERA-NET for research and innovation on materials and battery technologies, supporting the European Green Deal (M-ERA.NET 3)” and its related processing activities as well as the general management of the cooperation. Activities also covered by the joint responsibility are accompanying measures such as newsletters, organisation of events, exchange with relevant stakeholders and others.

The scope and type of data processing, the objectives and methods of processing, including the involvement of the Joint Controllers in those processings as well as the categories of the possible data recipients are provided in Annex 1.

- 4) The legal basis for the data processing may vary in individual cases and depending on the consortium partner. For detailed information on the legal basis of processing please refer to the individual Joint Controller. However, the following cases in particular can be highlighted as legal bases for processing within the framework of M-ERA.NET:
  - If informed consent is obtained (e.g. event registration), processing shall be based on such consent pursuant to Article 6.1.a) of the GDPR.
  - If the processing is necessary for the performance of a contract to which the data subject is party or in order to take steps at the request of the data subject prior to entering into a contract, the processing shall be carried out pursuant to Article 6.1.b) of the GDPR.
  - If the processing is necessary for compliance with a legal obligation to which the controller is subject, the processing shall be carried out pursuant to Article 6.1.c) of the GDPR.
  - If the processing is necessary for the performance of a task carried out in the public interest or in the exercise of official authority vested in the controller, the processing shall be carried out pursuant to Article 6.1.e) of the GDPR.

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- If processing is necessary for the purposes of the legitimate interests pursued by the controller or by a third party, the processing shall be carried out pursuant to Article 6.1.f) of the GDPR.
- 5) The Joint Controllers may process the collected personal data for periods required to perform Cooperation and obligations set forth in the consortium agreement concluded between the Joint Controllers and/or the grant agreement concluded between the Joint Controllers and the European Commission.
  - 6) The sharing of personal data during the Cooperation is required for the Joint Controllers to perform their duties.
  - 7) Data subjects – depending on the legal basis for processing – shall be entitled to the rights available to them pursuant to applicable laws, including as follows:
    - a) to access to their personal data – which means that right to obtain from a Data Joint Controller a confirmation if their personal data are processed. If their data are processed, such data subjects are entitled to get access to their data and to obtain the following information: the purposes of the processing; the categories of personal data concerned; the recipients or categories of recipient to whom the personal data have been or will be disclosed, the envisaged period for which the personal data will be stored or the criteria used to determine that period; the existence of the right to have the personal data corrected, erased or to restrict the processing of personal data and the right to object to the processing of the personal data (Article 15 of GDPR);
    - b) to obtain a copy of the personal data being processed – the first copy is free of charge and for any further copies requested by the data subject, the controller may charge a reasonable fee based on administrative costs (Article 15 of the GDPR);
    - c) to have incomplete personal data corrected, including by means of providing a supplementary statement (Article 16 of the GDPR);
    - d) to have their data deleted if a Data Joint Controller no longer has a legal basis to process the data or the data are no longer required to comply with the objectives of processing (Article 17 of the GDPR);
    - e) to have the processing restricted when: a data subject questions the accuracy of the personal data – for a period allowing the inspector to verify the accuracy of the data; the processing is unlawful and the data subject opposes the erasure of the personal data and requests the restriction of their use instead; the Joint Controller no longer needs the personal data but they are required by the data subject for determining, pursuing and defending against any claims; the data subject has objected to processing pending verification as to whether the legitimate grounds of the Joint Controller override those of the data subject;
    - f) to data portability – that is the data subject shall have the right to receive the personal data concerning him or her, which he or she has provided to a Joint Controller, in a structured, commonly used and machine-readable format and have the right to transmit those data to another controller if the processing is based on consent of the data subject or on a contract concluded with the data subject and when the processing is carried out by automated means (Article 20 of the GDPR);
    - g) the right to object to processing of their personal data for legitimate objectives of the Controller on grounds relating to their particular situation, including profiling. Then the Joint Controller will have to demonstrate compelling legitimate grounds for the processing which override the interests, rights and freedoms of the data subject or for the establishment, exercise or defence of legal claims. If, following such review, the interests of the data subject override the interests

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of the Controller, the Controller shall be obliged to discontinue the processing of the data in connection with objectives (Article 21 of the GDPR);

- h) the right to lodge a complaint with a supervisory authority if they consider that the processing of their personal data does not comply with the GDPR (Article 77 of the GDPR).
- 8) Some consortium partners are based in non-EU third countries. The personal data processed for the purposes specified herein may be transferred to the following third countries:
- a) Iceland, Norway - the GDPR is directly applicable in these states.
  - b) Canada, Israel, Switzerland, - pursuant to Article 45 of the GDPR – on the basis of an adequacy decisions of the Commission;
  - c) Brazil, Russian Federation, South Africa, South Korea, Taiwan, Turkey - pursuant to Article 46 (2)(c) of the GDPR – on the basis of standard data protection clauses adopted by the Commission in accordance with the examination procedure in Article 93 (2) or pursuant to Article 49 of the GDPR. Pursuant to article 49 of the GDPR the data might be transferred in the following cases:
    - The data subject has explicitly consented to the proposed transfer, after having been informed of the possible risks of such transfers for the data subject due to the absence of an adequacy decision and appropriate safeguards.
    - The transfer is necessary for the performance of a contract between the data subject and the controller or the implementation of pre-contractual measures taken at the data subject's request.
    - The transfer is necessary for the conclusion or performance of a contract concluded in the interest of the data subject between the controller and another natural or legal person.
    - The transfer is necessary for the establishment, exercise or defence of legal claims.

Please note that these countries do not currently have data protection laws that are fully comparable to the GDPR and you may not have the same rights in these countries as you would in, for example, the Member States of the European Union.

Appendix 2 – Information to be provided to data subjects

**Annex 1:**

Categories of data subjects	types of data	purpose of data processing	data management tools	Who is responsible for data collection and processing?	data recipients
<b>submitted proposals: coordinators and consortium members</b>	first and last name; affiliation; telephone number; e-mail; CV; list of publications; other project data	eligibility check; evaluation;	proposal submission tool; proposal forms	call secretariat; subcontracted IT provider	access restricted to funding orgs. involved in individual projects; the European Commission; the ERA-LEARN project; evaluators in individual projects; subcontracted IT provider; call secretariat; monitoring task force
<b>funded projects: coordinators and consortium</b>	first and last name; affiliation; telephone number; e-mail; CV; list of publications; other project data	eligibility check; evaluation; monitoring; assessments	monitoring tool; reporting forms; assessment surveys	call secretariat; subcontracted IT provider; all call partners	access restricted to funding orgs. involved in individual projects; the European Commission; the ERA-LEARN project; evaluators in individual projects; subcontracted IT provider; call secretariat; monitoring task force
<b>success stories</b>	first and last name; affiliation; telephone number; e-mail; CV; list of publications	for promotional purposes;	template (form)	FNRS	public website
<b>evaluators of full proposals</b>	e-mail address, phone number, country, name, affiliation, CV, gender, bank account	contact for proposal evaluation; refund costs/pay remuneration	proposal evaluation tool; e-mail correspondence with call secretariat;	call secretariat; subcontracted IT provider	call secretariat; M-ERA.NET 3 consortium; subcontracted IT provider
<b>Independent Observer call 2021</b>	e-mail address, name, affiliation, phone number	call observer Call 2021	in e-mail correspondence; proposal management tools	FFG; subcontracted IT provider	FFG; subcontracted IT provider; European Commission
<b>SEG members</b>	e-mail address, country, name, affiliation, CV, gender	participation in SEG workshop	decentralised collection via xls; e-mail correspondence within the M-ERA.NET 3 consortium; in network internal documents; registration form	FFG; AEI; subcontracted IT provider	M-ERA.NET 3 consortium
<b>M-ERA.NET 3 consortium (organisations)</b>	e-mail address, country, name, affiliation, phone number, other project data	M-ERA.NET 3 implementation	in e-mail correspondence in the network; in network internal documents; in the Partner Area; on the programme web site and in call documents available on the web site; in promotional materials (leaflets about the call) – printed and published on-line;	M-ERA.NET 3 Management Group	M-ERA.NET 3 consortium; subcontracted IT provider; European Commission; public;
<b>event participants</b>	e-mail address, country, name, affiliation	participation in event; confirmation of registration	decentralised collection via xls; online registration form; e-mail correspondence within the M-ERA.NET 3 consortium;	FFG; JÜLICH; FNRS; subcontracted IT provider	M-ERA.NET 3 consortium
<b>RTD community: representatives of relevant initiatives</b>	e-mail address, country, name, affiliation	dissemination of relevant news; invitation to events; mutual exchange of information	decentralised collection via xls; e-mail correspondence within the M-ERA.NET 3 consortium; in network internal documents;	M-ERA.NET 3 Management Group	M-ERA.NET 3 consortium
<b>newsletter subscribers</b>	e-mail address	newsletter dissemination	central mailing list	FFG; JÜLICH; FNRS; subcontracted IT provider	FFG, JÜLICH, subcontracted IT provider
<b>twitter followers</b>	twitter name (@Name)	exchange of tweets	twitter	FFG, JÜLICH	FFG, JÜLICH; public